



Please type a plus sign (+) inside this box →



PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| | | | |
|---|---|---|---|
| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | | Application Number | 10/090,698 |
| | | Filing Date | March 4, 2002 |
| | | First Named Inventor | MURRAY, JOSEPH |
| | | Group Art Unit | 1637 |
| | | Examiner Name | |
| Total Number of Pages in This Submission | 5 | Attorney Docket Number | AGYT-011CIP2 |
| ENCLOSURES (check all that apply) | | | |
| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Assignment Papers (for an Application) | <input type="checkbox"/> After Allowance Communication to Group | RECEIVED AUG 28 2002 TECH CENTER 1600/2900 |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences | |
| <input type="checkbox"/> Amendment / Reply | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) | |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition | <input type="checkbox"/> Proprietary Information | |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Status Letter | |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): | |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Terminal Disclaimer | 1. Return Receipt Postcard | |
| <input checked="" type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Request for Refund | 2. Cited references | |
| <input checked="" type="checkbox"/> Form PTO/SB/08A | <input type="checkbox"/> CD, Number of CD(s) _____ | | |
| <input type="checkbox"/> Response to Missing Parts/Incomplete Application | | | |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | | | |
| Remarks | | | |
| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | | | |
| Firm or Individual Name | JAMES S. KEDDIE, PH.D., Reg. No. 48,920 | | |
| Signature | | | |
| Date | August 20, 2002 | | |

| | | | |
|---|-----------------|------|-----------------|
| CERTIFICATE OF MAILING | | | |
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: August 20, 2002. | | | |
| Typed or printed name | Susan M. Alessi | | |
| Signature | | Date | August 20, 2002 |

Burden Hour Statement: This form is estimated to take .2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

AUG 26 2002

COPY OF PAPERS
ORIGINALLY FILED

Receipt

Please type a plus sign (+) inside this box →

+

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| | | | |
|---|---|------------------------|----------------|
| <h1>TRANSMITTAL FORM</h1> <p>(to be used for all correspondence after initial filing)</p> | | Application Number | 10/090,698 |
| | | Filing Date | March 4, 2002 |
| | | First Named Inventor | MURRAY, JOSEPH |
| | | Group Art Unit | 1637 |
| | | Examiner Name | |
| Total Number of Pages in This Submission | 6 | Attorney Docket Number | AGYT-011CIP2 |

ENCLOSURES (check all that apply)

| | | |
|--|---|---|
| <input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Documents <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1. Request for Corrected Filing Receipt 2. Supplemental Application Data Sheet 3. Marked-up copy of Filing Receipt 4. Return Receipt Postcard |
| Remarks | | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | | |
|-------------------------|---|---|
| Firm or Individual Name | JAMES S. KEDDIE, PH.D., Reg. No. 48,920 | <h1>RECEIVED</h1> <p>SEP 11 9 2002</p> <p>TECH CENTER 1600/2900</p> |
| Signature | <i>James S. Keddie</i> | |
| Date | August 19, 2002 | |

CERTIFICATE OF MAILING

| | | |
|---|------------------------|----------------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: August 19, 2002. | | |
| Typed or printed name | Susan M. Alessi | |
| Signature | <i>Susan M. Alessi</i> | Date August 19, 2002 |

Burden Hour Statement: This form is estimated to take .2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



COPY OF PAPERS
ORIGINALLY FILED

Atty Dkt. No. AGYT-011CIP2

USSN: 10/090,698

| | | | |
|--|------------------------|-----------------|---|
| CERTIFICATE OF MAILING | | | |
| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Office of Initial Patent Examination, Commissioner for Patents, Washington, D.C. 20231. | | | |
| Typed or Printed Name | Susan M. Alessi | | |
| Signature | <i>Susan M. Alessi</i> | Date | 08-19-2002 |
| REQUEST FOR CORRECTED FILING RECEIPT Address to: Office of Initial Patent Examination Commissioner for Patents Washington, D.C. 20231 | | Attorney Docket | AGYT-011CIP2 |
| | | Applicant | MURRAY, JOSEPH |
| | | Serial Number | 10/090,698 |
| | | Filing Date | March 4, 2002 |
| | | Group Art Unit | 1637 |
| | | Examiner Name | |
| | | Title: | "AUTOMATED PATHWAY RECOGNITION SYSTEM" |

Sir:

A filing receipt for the above-identified patent application has been issued by the U.S. Patent and Trademark Office (copy attached) and has been found to contain the following error(s):

- (1) Please correct the "Atty Docket No." as indicated on the attached marked-up copy of the Supplemental Application Data Sheet and the marked-up copy of the Filing Receipt as follows:

AGYT-011CIP2

AGYT-011

If for any reason a fee is found to be necessary, the Commissioner is authorized to charge such fee to Deposit Account No. 50-0815 order AGYT-011CIP2.

Respectfully submitted,
BOZICEVIC, FIELD & FRANCIS LLP

Date:

08-19-2002

By:

James S. Keddie

James S. Keddie, Ph.D.
Registration No. 48,920

BOZICEVIC, FIELD & FRANCIS LLP
200 Middlefield Road, Suite 200
Menlo Park, CA 94025
Telephone: (650) 327-3400
Facsimile: (650) 327-3231

RECEIVED

SEP 09 2002

TECH CENTER 1600 2900

COPY OF PAPERS
INITIALLY FILED

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D. C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO. | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|----------------------------|----------|------------|------------|
| 10/090,698 | 03/04/2002 | 1637 | 435 | AGYT-011CIP2 13 | 20 | 3 | |

AGYT-011CIP2

CONFIRMATION NO. 5398

UPDATED FILING RECEIPT



OC000000008232237

COPY

Date Mailed: 06/05/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Joseph Murray, Berkeley, CA;
Donna Hendrix, Berkeley, CA;
Daniel J. Chin, Foster City, CA;

RECEIVED

SEP 09 2002

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/365,587 07/30/1999
AND IS A CIP OF PCT/US00/20603 07/28/2000

TECH CENTER 1600-2900

Foreign Applications

If Required, Foreign Filing License Granted 04/09/2002

Projected Publication Date: 09/12/2002

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Automated pathway recognition system

RECEIVED

JUN 11 2002

Bozicevic, Field & Francis

DOCKETED

Grant OER 06/13/02
- atty docket no.

Preliminary Class

435

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).